

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**

Statement as on: 30-June-2021

STATEMENT OF DOWN GRADED INVESTMENTPeriodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
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A. During the Quarter¹

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B. As on date²

1	8.74% APPFC 2022	SGOA	1,000.00	23-Nov-10	ICRA	A	D	27-Sep-16	Guaranteed
2	7.89% CanFin Home 2022	HTDN	4,000.00	06-Apr-17	FITCH	AAA	AA	18-Dec-19	Approved
3	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-12	Unapproved
4	9.15% DHFL 2019	ORAD	2,500.00	06-Apr-16	CARE	AAA	D	05-Jun-19	Unapproved
5	9.25% DHFL 2023	ORAD	1,000.00	15-Sep-16	CARE	AAA	D	05-Jun-19	Unapproved
6	9.85% First Blue Home Fin (DHFL)	ORAD	1,500.00	30-Apr-10	CARE	AA	D	05-Jun-19	Unapproved
7	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
8	9.50% Gammon India 2020	IODS	1,920.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
9	9.55% Hindalco Industries 2022	ECOS	15,000.84	27-Apr-12	CRISIL	AA+	AA	20-Jun-14	Approved
10	9.60% Hindalco Industries 2022	ECOS	1,953.40	02-Aug-13	CRISIL	AA+	AA	20-Jun-14	Approved
11	7.98% IDFC First Bank 2023	ECOS	2,000.00	31-May-13	ICRA	AAA	AA	21-May-19	Approved
12	8.50% IDFC First Bank 2023	ECOS	2,532.18	27-Jan-17	ICRA	AAA	AA	21-May-19	Approved
13	8.82% IDFC First Bank 2025	ECOS	996.60	11-Nov-10	ICRA	AAA	AA	21-May-19	Approved
14	8.90% IDFC First Bank 2025	ECOS	2,500.00	03-May-10	ICRA	AAA	AA	21-May-19	Approved
15	8.90% IDFC First Bank 2025	ECOS	2,000.00	01-Dec-10	ICRA	AAA	AA	21-May-19	Approved
16	8.44% IREDA 2023	IPTD	1,983.00	05-Jul-13	FITCH	AAA	AA+	05-Oct-20	Approved
17	8.49% IREDA 2028	IPTD	2,019.83	13-Jun-13	FITCH	AAA	AA+	05-Oct-20	Approved
18	7.40% IREDA 2030	IPTD	2,500.00	17-Mar-20	FITCH	AAA	AA+	05-Oct-20	Approved
19	8.58% IL&FS 2018	ORAD	2,000.00	08-Dec-15	CARE	AAA	D	17-Sep-18	Unapproved
20	8.75% IL&FS 2020	ORAD	2,000.00	22-May-15	CARE	AAA	D	17-Sep-18	Unapproved
21	8.75% IL&FS 2020	ORAD	2,500.00	31-Jul-15	CARE	AAA	D	17-Sep-18	Unapproved
22	8.75% IL&FS 2020	ORAD	1,999.60	03-Aug-15	CARE	AAA	D	17-Sep-18	Unapproved
23	9.20% IL&FS 2020	ORAD	497.65	08-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
24	7.90% IL&FS 2021	ORAD	2,500.00	25-Oct-16	CARE	AAA	D	17-Sep-18	Unapproved
25	9.95% IL&FS 2021	ORAD	2,500.00	07-Feb-14	CARE	AAA	D	17-Sep-18	Unapproved
26	9.98% IL&FS 2021	ORAD	1,507.72	05-Dec-11	CARE	AAA	D	17-Sep-18	Unapproved
27	9.50% IL&FS 2024	ORAD	3,002.39	28-Jul-14	CARE	AAA	D	17-Sep-18	Unapproved
28	9.55% IL&FS 2024	ORAD	1,500.00	20-Aug-14	CARE	AAA	D	17-Sep-18	Unapproved
29	8.69% IL&FS 2025	ORAD	1,002.89	10-Sep-15	CARE	AAA	D	17-Sep-18	Unapproved
30	8.72% IL&FS 2025	ORAD	1,504.08	13-May-15	CARE	AAA	D	17-Sep-18	Unapproved
31	10.30% IL&FS Financial Services 2020	ORAD	1,008.81	09-Jan-12	CARE	AAA	D	17-Sep-18	Unapproved
32	9.40% IL&FS Transport Network 2020	ORAD	4,000.00	12-Apr-16	CARE	AAA	D	17-Sep-18	Unapproved
33	10.20% Indiabulls Housing Fin 2022	HTDA	2,000.00	07-Dec-12	CRISIL	AA+	AA	07-Feb-20	Approved
34	10.30% IndiaBulls Housing Fin 2022	HTDA	2,000.00	12-Oct-12	CRISIL	AA+	AA	07-Feb-20	Approved
35	10.30% IndiaBulls Housing Fin 2022	HTDA	2,500.00	25-Oct-12	CRISIL	AA+	AA	07-Feb-20	Approved
36	10.30% IndiaBulls Housing Fin 2022	HTDA	2,500.00	05-Nov-12	CRISIL	AA+	AA	07-Feb-20	Approved
37	11.85% IndiaBulls Fin Services 2022	HTDA	1,500.00	08-Feb-12	CRISIL	AA+	AA	07-Feb-20	Approved
38	9.80% Indiabulls Housing Fin 2023	HTDA	2,000.00	29-May-13	CRISIL	AA+	AA	07-Feb-20	Approved
39	10.10% Indiabulls Housing Fin 2023	HTDA	2,500.00	25-Sep-13	CRISIL	AA+	AA	07-Feb-20	Approved
40	10.10% Indiabulls Housing Fin 2023	HTDA	2,500.00	17-Jan-13	CRISIL	AA+	AA	07-Feb-20	Approved
41	10.10% Indiabulls Housing Fin 2023	HTDA	2,000.00	11-Mar-13	CRISIL	AA+	AA	07-Feb-20	Approved
42	10.65% IndiaBulls Fin Services 2027	HTDA	2,500.00	29-Jun-12	CRISIL	AA+	AA	07-Feb-20	Approved
43	9.20% Punjab National Bank (OBC)	ECOS	1,003.99	07-Nov-14	ICRA	AA+	AA	01-Jul-20	Approved
44	9.35% Punjab National Bank 2024	ECOS	2,000.00	11-Sep-14	FITCH	AAA	AA+	01-Jul-20	Approved
45	8.57% PNB Housing Fin 2023	HTDN	2,000.00	28-Jul-16	CRISIL	AA+	AA	21-Feb-20	Approved
46	8.70% PNB Housing Fin 2024	HTDN	2,003.95	11-Dec-14	CRISIL	AA+	AA	21-Feb-20	Approved

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No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
47	9.70% Rajasthan State RTC 2022	SGOA	2,459.75	02-Nov-12	Brickwork	A-	D	01-Jul-20	Guaranteed
48	10.60% Reliance Capital 2021	ORAD	2,000.00	28-Dec-11	CARE	AA+	D	20-Sep-19	Unapproved
49	10.75% Reliance Capital 2021	ORAD	2,500.00	31-Oct-11	CARE	AA+	D	20-Sep-19	Unapproved
50	10.60% Reliance Capital 2022	ORAD	2,500.00	26-Mar-12	CARE	AA+	D	20-Sep-19	Unapproved
51	10.40% Reliance Home Fin 2022	ORAD	2,000.00	26-Sep-12	CARE	AA	D	02-Jul-19	Unapproved
52	10.33% Reliance Home Fin 2022	ORAD	2,000.00	12-Oct-12	CARE	AA	D	02-Jul-19	Unapproved
53	9.52% Reliance Home Fin 2023	ORAD	1,500.00	29-Jul-13	CARE	AA+	D	02-Jul-19	Unapproved
54	9.80% Reliance Home Fin 2024	ORAD	1,500.00	16-May-14	CARE	AA+	D	02-Jul-19	Unapproved
55	9.50% Reliance Home Fin 2025	ORAD	1,000.00	11-Jun-15	CARE	AA	D	02-Jul-19	Unapproved
56	9.50% Reliance Home Fin 2025	ORAD	997.50	16-Jun-15	CARE	AA	D	02-Jul-19	Unapproved
57	8.80% Reliance Home Fin 2020	ORAD	2,500.00	17-Dec-15	CARE	AA+	D	02-Jul-19	Unapproved
58	8.69% RRVPNL 2027	SGOA	6,363.20	25-Mar-15	Brickwork	A+	A-	13-Dec-19	Guaranteed
59	8.65% RRVPNL 2027	SGOA	3,969.40	08-Jan-15	Brickwork	A+	A-	13-Dec-19	Guaranteed
60	10.25% RRVPNL 2026	SGOA	2,500.00	23-Jan-14	Brickwork	A	A-	13-Dec-19	Guaranteed
61	9.07% RRVPNL 2025	SGOA	4,014.18	11-Nov-13	Brickwork	A	A-	13-Dec-19	Guaranteed
62	9.30% RRVPNL 2025	SGOA	502.63	12-Feb-18	Brickwork	A	A-	13-Dec-19	Guaranteed
63	9.00% RRVUNL 2026	SGOA	3,992.80	27-Nov-15	Brickwork	A+	A-	13-Dec-19	Guaranteed
64	9.00% Shriram Housing Fin 2023	HTDA	1,500.00	03-May-16	FITCH	AA+	AA	06-Jul-16	Approved
65	10.30% Shriram Housing Fin 2024	HTDA	1,000.00	14-Oct-14	FITCH	AA+	AA	06-Jul-16	Approved
66	10.75% Simplex infra 2022	IODS	1,000.00	10-Dec-12	CARE	AA	D	11-Dec-19	Unapproved
67	9.81% Tata Motors 2024	OLDB	2,003.11	09-Sep-14	ICRA	AA	AA-	05-Aug-19	Unapproved
68	9.15% Tata Power 2021	IODS	497.04	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
69	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
70	9.15% Tata Power 2022	IODS	496.90	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
71	9.15% Tata Power 2023	IODS	496.77	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
72	9.15% Tata Power 2024	IODS	495.85	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
73	9.15% Tata Power 2025	IODS	992.43	23-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
74	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
75	2.00% Tata Steel 2022	ECOS	1,684.40	23-Apr-12	Brickwork	AA+	AA	27-Oct-16	Approved
76	10.30% Yes Bank 2021	OLDB	2,000.00	20-Jul-11	ICRA	AA	BBB	05-Oct-20	Unapproved
77	10.20% Yes Bank 2021	OLDB	2,000.31	10-Jan-12	ICRA	AA+	BBB	05-Oct-20	Unapproved
78	9.90% Yes Bank 2022	OLDB	2,500.00	06-Nov-12	ICRA	AA	BBB	05-Oct-20	Unapproved
79	9.00% Yes Bank 2026	OLDB	2,500.00	05-Apr-16	ICRA	AA	BBB-	05-Oct-20	Unapproved
80	8.00% Yes Bank 2026	OLDB	11,525.85	01-Nov-16	ICRA	AA	BBB	05-Oct-20	Unapproved

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 29.10.2021

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04